

ATTORNEY'S DOCKET NO.: B0801/7159 (ERP/KA)

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TELETYPE UNIT 001/2300

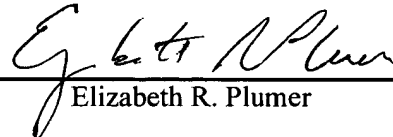
Applicant: Francescopaolo BORRIELLO and Hamid BAND
Serial No: 09/434,708
Filed: November 5, 1999
For: CHARACTERIZATION OF NOVEL GENE CBL-SL
Examiner: Not Yet Assigned
Art Unit: 1643

#7/B
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724-00

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

The undersigned hereby certifies that this document is being placed in the United States mail with first-class postage attached, addressed to Assistant Commissioner for Patents, Washington, D.C. 20231, on the 14th day of March, 2000.


Elizabeth R. Plumer

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir and/or Madam:

**RESPONSE TO NOTICE TO COMPLY WITH REQUIREMENTS
FOR PATENT APPLICATIONS CONTAINING NUCLEOTIDE SEQUENCE
AND/OR AMINO ACID SEQUENCE DISCLOSURES**

This is in response to the Notice to Comply with Requirements for Patent Applications Containing Nucleotide Sequence and/or Amino Acid Sequence Disclosures mailed from the United States Patent and Trademark Office on February 7, 2000.

Attached is the copy of the "Sequence Listing" in computer readable form.

Attached is a paper hard copy form of the "Sequence Listing."

Attached is a Statement Pursuant to 37 CFR 1.82(f).

Accordingly, Applicants believe that this document is responsive to the Notice to Comply with Requirements for Patent Applications Containing Nucleotide Sequence and/or Amino Acid Sequence Disclosures (copy attached).

Serial No: 09/434,708

-2-

Group Art Unit: 1643

If any other information is needed, please contact the undersigned attorney by phone (617) 720-3500, Ext. 259) to expedite the further prosecution of this patent application.

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JUL 17 2000

Respectfully submitted,

GROUP ART UNIT 1600/2230

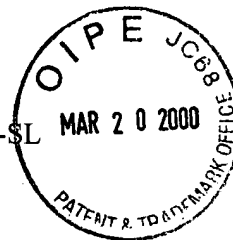
WOLF, GREENFIELD & SACKS, P.C.

By Elizabeth R. Plumer
Elizabeth R. Plumer (Reg. No. 36,637)
WOLF, GREENFIELD & SACKS, P.C.
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ATTORNEY'S DOCKET NO.: B0801/7159
DATE: March 14, 2000
X04/07/00

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Francescopaolo BORRIELLO and Hamid BAND
Serial No: 09/434,708
Filed: November 5, 1999
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Examiner: Not Yet Assigned
Art Unit: 1643



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JUL 13 2000

TECH CENTER 1600/2900

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Elizabeth R. Plumer
Elizabeth R. Plumer

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir and/or Madam:

TRANSMITTAL LETTER

Transmitted herewith for filing are the following documents:

- ☒ Copy of the "Sequence Listing" in computer readable form.
- ☒ Part 2 - Copy of the Notice to Comply with Requirements for Patent Applications Containing Nucleotide Sequence and/or Amino Acid Sequence Disclosures
- ☒ Paper hard copy form of the "Sequence Listing."
- ☒ Statement Pursuant to 37 CFR 1.82(f).
- ☒ Return Postcard

If the enclosed papers are considered incomplete, the Mail Room and/or the Application Branch is respectfully requested to contact the undersigned at (617)720-3500, Boston, Massachusetts.

If the fee is insufficient, the balance may be charged to the account of the undersigned, Deposit Account No. 23/2825. A duplicate of this sheet is enclosed.

Respectfully submitted,

WOLF, GREENFIELD & SACKS, P.C.

By *Elizabeth R. Plumer*
Elizabeth R. Plumer (Reg. No. 36,637)
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ATTORNEY'S DOCKET NO.: B0801/7159
DATE: March 14, 2000
X04/07/00

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UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office

Address: COMMISSIONER OF PATENTS AND TRADEMARK
Washington, D.C. 20231

ERP

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
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09/434,708 11/05/99 BAND H B0801/7159(E)

ELIZABETH R. PLUMER
WOLF GREENFIELD & SODIS, P.C.
600 ATLANTIC AVE
BOSTON, MA 02210



04/07/00

[Signature]

1643

DATE MAILED:

02/07/00

**NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS
CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE DISCLOSURES**

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file a sequence listing in compliance with 37 CFR 1.821 - 1.825 to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

The nucleotide and/or amino acid sequence disclosure contained in this application does not comply with the requirements for such a disclosure as set forth in 37 CFR 1.821 - 1.825 for the following reason(s):

- ☐ 1. This application fails to comply with the requirements of 37 CFR 1.821 - 1.825.
- ☐ 2. This application does not contain, as a separate part of the disclosure on paper copy, a "Sequence Listing" as required by 37 CFR 1.821(c).
- ☐ 3. A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 CFR 1.821(e).
- ☒ 4. A copy of the "Sequence Listing" in computer readable form has been submitted. The content of the computer readable form, however, does not comply with the requirements of 37 CFR 1.822 and/or 1.832, as indicated on the attached marked-up copy of the "Raw Sequence Listing."
- ☐ 5. The computer readable form that has been filed with this application has been found to be damaged and/or unreadable as indicated on the attached CRF Diskette Problem Report. A substitute computer readable form must be submitted as required by 37 CFR 1.825(d).
- ☐ 6. The paper copy of the "Sequence Listing" is not the same as the computer readable form of the "Sequence Listing" as required by 37 CFR 1.821(e).
- ☐ 7. OTHER _____

APPLICANT MUST PROVIDE:

- ☒ An initial or substitute computer readable form (CRF) copy of the "Sequence Listing."
- ☐ An initial or substitute paper copy of the "Sequence Listing," as well as an amendment directing its entry into the specification.
- ☒ A statement that the content of the paper and computer readable copies are the same and, where applicable, include no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b) or 1.825(d).

FOR QUESTIONS REGARDING COMPLIANCE WITH THESE REQUIREMENTS, PLEASE CONTACT:

- ☐ For Rules Interpretation, call (703) 308-1123.
- ☐ For CRF submission help, call (703) 308-4212.
- ☐ For PatentIn software help, call (703) 308-6856.

[Signature: M. Bernson]

Customer Service Center
Initial Patent Examination Division (703) 308-1202

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